

10/591662

AP20 Rec'd PCT/PTO 05 SEP 2006

Docket No. 295335US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Karl OTT, et al.

SERIAL NO: New US PCT Application Based on PCT/EP05/02687

GAU:

FILED: Herewith

EXAMINER:

FOR: NOVEL SOLVENT FOR PRODUCING POLYURETHANE DISPERSIONS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Norman F. Oblon

Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Surinder Sachar

Registration No. 34,423

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 295335US0PCT		10/591662 SERIAL NO. New US PCT Application Based on PCT/EP05/02687	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Karl OTT, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	4 757 095	07/12/1988	GALAN et al.			
	AB	3 905 929	09/16/1975	NOLL			
	AC	6 455 611	09/24/2002	PEARS et al.			
	AD	2004 0028826	02/12/2004	GOECKE et al.			
	AE	6 437 041	08/20/2002	BOSCH et al.			
	AF	6 069 218	05/30/2000	VOGT-BIRNBRICH et al.			
	AG	5 908 895	06/01/1999	VOGT-BIRNBRICH et al.			
	AH	5 760 123	06/02/1998	VOGT-BIRNBRICH et al.			
	AI	5 681 622	10/28/1997	VOGT-BIRNBRICH et al.			
	AJ	5 354 808	10/11/1994	ONWUMERE et al.			
	AK	5 308 389	05/03/1994	RUSSO et al.			
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	0 663 412	07/19/1995	EP (equivalent of US 5 541 251)		NO	
	AP	101 61 156	06/18/2003	DE (equivalent of US 2005 0043467)		NO	
	AQ	198 37 601	08/26/1999	DE		NO	
	AR	00 73388	12/07/2000	WO (with English abstract and equivalent of US 6 811 825)		NO	
	AS	1 396 510	03/10/2004	EP (equivalent of US 2004 0127674)		NO	
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

10/591662

New U.S. PCT Application Based on PCT/EP03/02687
Karl OTT, et al.
Docket No. 295335 US

AP20 Rec'd PCT/PTO 05 SEP 2006

STATEMENT OF RELEVANCY

- 1) References AA-AC and AO-AS have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AD-AK are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.